

## **REMARKS**

Claims 1, 18, 43, 50, 57, 61, 64, and 65 have been amended. Claims 1-65 remain pending in the application. Reconsideration is respectfully requested in light of the following remarks.

### **Section 103(a) Rejections:**

The Examiner rejected claims 1, 2, 4, 5, 13-16, 18, 19, 21-23, 30, 33-44, 49-51 and 56-65 under 35 U.S.C. § 103(a) as being unpatentable over Huppenthal, et al. (U.S. Patent 6,339,819) (hereinafter “Huppenthal”) in view of Hinds, et al. (U.S. Patent 6,542,916) (hereinafter “Hinds”), and further in view of Chen et al. (U.S. Patent 6,763,365) (hereinafter “Chen”), claims 3, 20, 45 and 52 as being unpatentable over Huppenthal, Hinds and Chen, and further in view of Lasher et al. (U.S. Patent 4,863,247) (hereinafter “Lasher”), claims 6-12, 24-29, 31, 32, 48, 53, 54 and 55 as being unpatentable over Huppenthal, Hinds and Chen, and further in view of Stribaek et al. (U.S. Patent 7,181,484) (hereinafter “Stribaek”), claim 17 as being unpatentable over Huppenthal, Hinds and Chen, and further in view of Chen et al. (U.S. Patent 6,687,725) (hereinafter “Chen2”), and claims 46 and 47 as being unpatentable over Huppenthal, Hinds, Chen and Lasher and further in view of Stribaek. Applicants respectfully traverse these rejections for at least the following reasons.

In the Response to Arguments section of the present Office Action, the Examiner submits that Applicants’ Specification (on page 8, at paragraph [1056], lines 6-8; and on page 15, at paragraph [1076], lines 6-8]) discloses “the concept of the multiply-accumulate-chaining operation. The operation chaining concept discloses the chaining of operations in order to perform successive arithmetic operations in response to a single initiated instruction. The arithmetic operations can be multiplication, accumulation, carry-save, partial multiplication, and etc.” The Examiner is clearly mischaracterizing the teachings of Applicants’ Specification.

Paragraph [1056] of Applicants' Specification actually states, in its entirety, the following:

“Multi-word multiplications and additions may be computed using many word-sized multiply, add, and shift operations. As shown in FIG. 1, a 1024-bit integer X, for example, can be represented with sixteen 64-bit words  $X=(x_{15}, \dots, x_1, x_0)$ . That form is commonly referred to as multi-precision representation. The more efficiently a multi-word operation can be performed, the better the public-key performance will be. Adding capabilities to a general purpose processor to speed up multi-word operations is a key to accelerating public-key computations, and add-chaining and multiply-chaining are two of the capabilities needed.”

This passage describes that adding add-chaining and multiply-chaining capabilities to a general purpose processor (such as by adding the new processor instructions of Applicants' claimed invention) will speed up multi-word operations, and therefore, can be used to accelerate public-key computations, which typically include such multi-word operations. This passage does not describe “multiply-accumulate-chaining,” or “the chaining of operations in order to perform successive arithmetic operations in response to a single initiated instruction.” In fact, it is not clear what the Examiner means by the phrase “the chaining of operations in order to perform successive arithmetic operations in response to a single initiated instruction.” Applicants' claims describe a relationship between a currently executing single arithmetic instruction of the processor instruction set and a previously executed single arithmetic instruction of the processor instruction set, not just a collection of isolate arithmetic operations that are performed in response to a single initiated instruction.

Paragraph [1076] of Applicants' Specification actually states, in its entirety, the following:

The umulxck instruction is an efficient way to support public-key computations. Back-to-back scheduling of multi-word multiplications and accumulations is often difficult using today's instruction sets due to the multiplier latency. The umulxck instruction performs the multiply-accumulate-chaining operation which combines

add-chaining and multiply-chaining in one operation and avoids the multiplier latency. Using the umulxck instruction, and referring again to FIG. 5, the calculation of a 64x1024 bit partial product ( $y_0 \cdot X$ ) and the accumulation with a previous partial product (s16:s0), can be accomplished in the following 20 instructions:

```

set register k=y0;
umulxck 0,0;           // clear extended-carry register exc first
r0 = umulxck x0, s0;
...
...
...
r15 = umulxck x15, s15;
r16 = umulxck 0, s16;   // catch 64 carryout bits
r17 = umulxck 0, 0;     // catch last carryout bit

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As described in Applicants' specification, each single umulxck instruction in this example performs both multiply and accumulate operations and also implicitly allows for accumulating an additional partial result of a previous single umulxck instruction, i.e. without requiring additional add operations or specifying an additional operand for the additional accumulate operation. Specifically, a umulxck instruction of the form shown above ( $rd = umulxck\ rs1, rs2$  - where rs1 and rs2 are source operands and rd is a destination operand), performs  $(rs1 \cdot k + rs2 + \text{a partial result of a previous single arithmetic instruction})$ , such as a previous umulxck instruction) without explicitly specifying the additional operand (the partial result of the previous single arithmetic instruction). The result register specified by operand rd receives the lower order bits of the result of this calculation, and the extended carry register (which is also not specified by any of the operands of the instruction) receives the upper order bits of the result of this calculation.

In the example referenced by the Examiner, the third single arithmetic instruction ( $r0 = \text{umulxck } x0, s0$ ):

performs the calculations  $(x0 * k + s0 + \text{exc})$ , where  $\text{exc} = 0$  (because the second instruction above cleared  $\text{exc}$ )

stores the lower order bits of the result of this calculation in  $r0$ ; and

stores the upper order bits of the result of this calculation in  $\text{exc}$ .

In this example, the fourth single arithmetic instruction (not shown, but implied to be  $r1 = \text{umulxck } x1, s1$ ):

performs the calculations  $(x1 * k + s1 + \text{exc})$ , where  $\text{exc}$  now contains the upper order bits of the result of the calculation performed for the third instruction, as noted above;

stores the lower order bits of the result of this calculation in  $r0$ ; and

stores the upper order bits of the result of this calculation in  $\text{exc}$ .

Similarly, each successive single umulxck instruction in this example performs a similar calculation on the explicitly specified source operands and also implicitly adds the contents of  $\text{exc}$  that were stored in  $\text{exc}$  by the previous umulxck instruction as the high order bits of the calculation made for that previous umulxck instruction.

As described in detail above, the Examiner's characterization of the teachings of Applicants' Specification is clearly incorrect. The cited portions of Applicants' Specification describe a specific processor instruction (umulxck). When a single instance of this specific processor instruction is executed by the processor, the processor performs specific multiply and accumulate calculations, including the implicit addition of a partial result of a previously executed single instance of the umulxck instruction. In other words, as noted above, the execution of one of the processor instructions recited in Applicants' claims facilitates a feedback relationship between two successive single arithmetic instructions, not merely the performance of a collection of arithmetic operations in response to a single initiated instruction, or a general concept of operation chaining in performing a single arithmetic instruction. Because execution of these

instructions facilitates this feedback relationship, the chaining of successive single instances of this umulxck instruction can be used to perform (and accelerate) the multi-word multiplies and accumulates that are common in cryptography applications.

In the Response to Arguments section of the present Office Action, the Examiner also submits, “The Huppenthal prior art discloses architecture for chaining a very large number of arithmetic operations (such as multiplication, accumulation, and etc) to form a single arithmetic instruction. The single arithmetic instruction is initiated and the entire sequence of chained instructions is performed with operand transfer controlled by the architecture via the usage of a chain port mechanism. The chain port mechanism supplies operands to each successive arithmetic operation in the sequence. The chain mechanism supplies operands without any support from the processor. The Hinds prior art discloses multiply-accumulate arithmetic operation sequences and its combination with Huppenthal discloses these arithmetic operational sequences completed as a single arithmetic instructions.” Applicants assert that the Examiner is clearly mischaracterizing the teachings of both Huppenthal and Hinds in suggesting that the combination teaches Applicants’ claimed invention.

Huppenthal is directed to a multiprocessor computer architecture incorporating a number of memory algorithmic processors ("MAP") in the memory subsystem or closely coupled to other processing elements (e.g., one or more fixed instruction set microprocessor-based processors) to enhance overall system processing speed. The memory algorithmic processor architecture is an assembly that contains field programmable gate arrays (FPGAs) functioning as the memory algorithmic processors. In other words, each memory algorithmic processor includes one or more FPGAs that are configurable to perform various functions that are not performed by one of the instructions of the fixed instruction microprocessor-based processors in the system. As described in the Summary section of Huppenthal, a MAP element can function autonomously from its host system (i.e. without processor intervention) once its operands have been loaded. MAP elements (which are not processor instructions, nor do they represent circuitry to execute arithmetic instructions of a microprocessor instruction set)

can be chained together to forward operands from one to another when multiple MAP elements are working together to perform a very large function. As described in detail in Huppenthal, the MAP architecture, and FPGAs thereof, perform functions in response to commands written to them using a write instruction that specifies a command and operands in the data. This is clearly not analogous to the limitations of Applicants' claims, which require execution of single arithmetic instructions of a processor instruction set in succession. For example, Table 2 of Huppenthal (and the accompanying description) describe commands that are communicated to the MAP architecture by issuing a write instruction from processor 12. These commands are used to configure various elements of the MAP architecture (e.g., RMB, RUC, RECON, LDROM), to pass operands to the MAP architecture (e.g., WRTOP, LASTOP), and to initiate the performance of a function implemented in the MAP circuitry (e.g., START).

**While performing a function using the MAP circuitry of Huppenthal may involve performing a series of calculations or other functions using one or more FPGAs, this teaches absolutely nothing about Applicants' claimed invention.** Applicants' claims are not directed to the general concept of performing a collection of arithmetic operations to carry out a single arithmetic instruction, as the Examiner implies, or to the chaining of operations performed within a MAP architecture or FPGA in response to a command issued using one or more write instructions of a processor, but to specific arithmetic instructions in a processor's instruction set, individual instances of which implicitly add partial results of a previously executed single arithmetic instruction when executing a current single arithmetic instruction without explicitly specifying the partial result as an operand of the current single arithmetic instruction.

To establish a *prima facie* obviousness of a claimed invention, all claim limitations must be taught or suggested by the prior art. *In re Royka*, 490 F.2d 981, 180 U.S.P.Q. 580 (C.C.P.A. 1974), MPEP 2143.03. The cited art does not teach or suggest all limitations of the currently pending claims, some distinctive limitations of which are set forth in more detail below.

Regarding claim 1, the cited art fails to teach or suggest *in response to executing a single arithmetic instruction of a processor instruction set, multiplying a first number by a second number; and adding implicitly a partial result from a previously executed single arithmetic instruction of the processor instruction set to generate a result that represents the first number multiplied by the second number summed with the partial result, wherein the partial result comprises a high order portion of a result of the previously executed single arithmetic instruction, and wherein the single arithmetic instruction does not include an explicit source operand for specifying the partial result; storing at least a portion of the generated result; and using the stored at least a portion of the generated result in a subsequent computation in the cryptography application.*

The Examiner submits, “Huppenthal discloses a method implemented in a device, the method comprising: executing a single arithmetic instruction of a processor instruction set, and storing at least a portion of the generated result; and using the stored at least a portion of the generated result in a subsequent computation in the cryptography application” (emphasis Examiner’s). Specifically, the Examiner cites Huppenthal (in column 3, lines 1-7) as disclosing “number of MAP elements chained together to accomplish a single function or operation (implies a single arithmetic instruction).” **The Examiner’s remarks regarding the implication of a single arithmetic instruction of a processor instruction set are completely unsupported by the reference itself.** In fact, as discussed above, the memory algorithmic processors taught by Huppenthal are explicitly disclosed as being separate from the fixed instruction set microprocessors in the system (such as processors 12<sub>1</sub> – 12<sub>n</sub>). Instead, they act as co-processors implemented in FPGAs or another type of user array to perform algorithmic functions in response to commands issued to them using a write instruction of the fixed instruction set processor, which is clearly not an arithmetic instruction of the fixed instruction set processor.

The Examiner cites Huppenthal (in column 3, lines 18-25) as disclosing, “MAP elements can receive operands via chained port)” and (in column 18, line 66 – column 19, line 3) as disclosing, “output data from one MAP element to be sent directly to the user array of the next MAP element with no processor intervention via a chain port.”

Applicants again assert that the chaining of MAP elements to perform a large function coded in FPGAs and initiated by a write instruction teaches nothing about the limitations of Applicants' claim. For example, it does not teach, in response to executing a single arithmetic instruction of a processor instruction set, multiplying a first number by a second number; and adding implicitly a partial result from a previously executed single arithmetic instruction of the processor instruction set. The chaining of MAP elements and passing of operands between MAP elements over a chain port when performing a single large operation implemented in one or more FPGAs, as described by Huppenthal, teach nothing about feedback between arithmetic instructions of a processor's instruction set.

The Examiner admits that Huppenthal does not specifically disclose a multiplying and summing sequence and relies on Hinds to teach such a sequence. Applicants again note that claim 1 does not merely require "a multiplying and summing sequence" but also requires feedback between two different arithmetic instructions of the processor instruction set, i.e. adding implicitly a partial result from a previously executed single arithmetic instruction. The Examiner submits that Hinds discloses multiplying a first number by a second number; and adding implicitly a partial result from an executed arithmetic instruction to generate a result that represents the first number multiplied by the second number summed with the partial result, wherein the partial result comprises a high order portion of a result of the previously executed single arithmetic instruction. Specifically, the Examiner cites Hinds (in column 3, lines 5-17) as disclosing, "applying a multiply-accumulate operation to operands; multiplying operands and adding multiplication results" and (in column 8, lines 6-25) as disclosing, "chained multiply-accumulate operation; carry save adders and usage of partial multiplier (partial results: high order bits); output of results of partial multiplier is normalized and passed to carry save adders and final product adder)." Hinds is directed to an apparatus and method for implementing a floating point MAC instruction that takes three operands as inputs. The cited portions of Hinds describe the operation of an individual floating point MAC instruction, which includes both a multiplication and an addition operation. As described in Hinds, all three operands are explicitly specified for the MAC instruction; there is no

additional operand that is implicitly added during execution of Hinds' MAC instruction, i.e. adding implicitly a partial result from a previously executed single arithmetic instruction... wherein the single arithmetic instruction does not include an explicit source operand for specifying the partial result. The Examiner's citation in column 8 refers to a prior art "chained" multiply-accumulate FPU, illustrated in FIG. 3B, which is operable to implement a single floating point multiply-accumulate operation ( $A+(B*C)$ ) or floating-point multiply-subtract operation ( $-A+(B*C)$ ) in response to issuance of a single floating-point instruction. This "chained" multiply-accumulate FPU passes results of a partial multiplier to a carry save adder and final product adder as part of executing a single floating point MAC instruction. This partial result is not implicitly added as part of executing a subsequent arithmetic instruction, as in Applicants' claimed invention, nor is it stored and used in a subsequent computation in the cryptography application.

The Examiner submits that it would have been obvious to one of ordinary skill in the art to modify Huppenthal for supporting cryptographic application as taught by Hinds, stating, "One of ordinary skill in the art would have been motivated to employ the teachings of Hinds to specifically increase the speed of multiply-accumulate operations and achieve faster computations. (Hinds col. 1, lines 27-29)." The cited passage of Hinds describes "interest in developing FPUs arranged specifically to perform multiply-accumulate operations with increased speed." However, contrary to the Examiner's suggestion, Hinds does not mention anything about supporting cryptography applications. In addition, it is not clear how the Examiner means to incorporate the teachings of Hinds into the multiprocessor system of Huppenthal, or how that would improve performance of multiply-accumulate instructions, which are not described anywhere in Huppenthal. Does he mean to imply that the floating point MAC instruction of Hinds (and associated FPU logic) should be added to the instruction set (and processor circuitry) of the fixed-instruction processors (e.g., processor 12) in the system of Huppenthal? In this case, the Examiner's citation regarding the MAP architecture would not be applicable to this new instruction, since it would not be used to implement it. Or does the Examiner mean to imply that the FPU of Hinds could be implemented using the MAP architecture (e.g., in one or more FPGAs) such that a floating point MAC operation is invoked by commands

issued to the MAP architecture using a write instruction? Again, this would not teach or suggest using the MAP architecture to implement an arithmetic instruction of the processor's instruction set, as the Examiner has previously suggested.

In addition, since the floating point MAC instruction of Hinds does not implicitly add a partial result from the previous execution of another floating point MAC (or a partial result from the previous execution of any other arithmetic instruction in the processor's instruction set), as suggested by the Examiner and as required by Applicant's claim, no combination of Huppenthal and Hinds would result in Applicants' claimed invention. At most, it could result in a system in which the floating point MAC operation of Hinds (which does not include such feedback) is implemented algorithmically in one or more FPGAs of the MAP architecture of Huppenthal, e.g., if such an instruction is not included in the instruction set of the fixed-instruction processors of Huppenthal.

The Examiner admits that Huppenthal-Hinds does not specifically disclose supporting a cryptography application and relies on Chen to disclose supporting a public-key cryptography application (citing Chen, column 6, lines 23-25, and "arithmetic operations to support acceleration of cryptographic functions"). The Examiner states, "It would have been obvious to one of ordinary skill in the art to modify Huppenthal-Hinds to support a cryptographic application as taught by Chen. One of ordinary skill in the art would have been motivated to employ the teachings of Chen to greatly improve the performance of cryptographic circuits. (Chen col. 5, lines 40-42)." The Examiner seems to imply that the reason to modify Huppenthal-Hinds to support cryptographic applications is to improve the performance of something that apparently does not exist in Huppenthal-Hinds (cryptographic circuits) for something that the Examiner admits that Huppenthal-Hinds does not support (i.e. cryptographic applications). Therefore, the Examiner has not provided a valid reason to combine the references. In addition, as described in detail above, the cited art does not teach all of the limitations of Applicants' claims, whether taken alone or in combination.

For at least the reasons stated above, Applicants assert that the Examiner has failed to establish a *prima facie* rejection of claim 1.

Independent claims 43, 57, and 64 include limitations similar to those recited in claim 1 and discussed above, and were rejected for similar reasons. Therefore, the arguments presented above apply with equal force to these claims, as well.

Independent claim 18 includes limitations similar to those recited in claim 1 and discussed above, and was rejected for reasons similar to those discussed above regarding claim 1. Therefore, Applicants traverse this rejection for at least the reasons presented above regarding limitations in this claim that are similar to those in claim 1.

**In addition**, claim 18 recites *adding a third number to generate a result that represents the first number multiplied by the second number summed with the partial result and the third number*. The Examiner submits that Hinds discloses these limitations using the same citations and reasoning as those included in remarks directed to claim 1. However, as discussed in detail above, Hinds teaches a floating point MAC instruction of the form  $(A+(B*C))$ , for which operands A, B, and C are explicitly specified. Hinds does not disclose a MAC instruction that adds partial results of a previously executed instruction, when executing this instruction, to *generate a result that represents the first number multiplied by the second number summed with the partial result and the third number*, as required by claim 18.

For at least the reasons above, the rejection of claim 18 is unsupported by the cited art and removal of the rejection thereof is respectfully requested.

Claims 50, 61, and 65 include limitations similar to those recited in claims 1 and 18 and discussed above, and were rejected for the same reasons as claims 1 and 18. Therefore, the arguments presented above apply with equal force to these claims, as well.

Applicants assert that numerous ones of the dependent claims recite further distinctions over the cited art. Applicants traverse the rejection of these claims for at least the reasons given above in regard to the claims from which they depend. However, since the rejections have been shown to be unsupported for the independent claims, a discussion of the dependent claims is not necessary at this time. Applicants reserve the right to present additional arguments.

## **CONCLUSION**

Applicants submit the application is in condition for allowance, and an early notice to that effect is requested.

If any fees are due, the Commissioner is authorized to charge said fees to Meyertons, Hood, Kivlin, Kowert, & Goetzel, P.C. Deposit Account No. 501505/6000-32301/RCK.

Respectfully submitted,

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